



ESR Cayman Limited

(Incorporated in the Cayman Islands with limited liability)

Stock Code: 1821

Whistleblowing Report Form

Please read the Company's Whistleblowing Policy on our corporate webpage before you, as an outside third party, proceed to file the report. The Policy has been established to encourage and assist whistleblowers to disclose information relevant to misconducts, malpractices or irregularities through a confidential reporting channel. The Company will handle this report with care and will treat the whistleblower's concerns fairly and properly. If you wish to make a report, please use this template and send to the email address at whistleblowing@esr.com together with any supporting documents. Once submitted this report and together with other relevant documents will become confidential.

1) Do you want us to contact you for necessarily follow up and updates?

- No
- Yes (Please provide us your contact details.

2) What category will your allegation belong to?

- Accounting, Auditing and Financial Reporting (e.g. financial misconduct, internal controls, or expense reporting)
- Business Integrity (e.g. bribery, falsification of documents, fraud, or vendor/customer issues)
- HR, Diversity and Workplace Respect (e.g. discrimination, harassment, retaliation, compensation, or general HR)
- Environment, Health and Safety (e.g. compliance, assault, safety, or substance abuse)
- Misuse, Misappropriation of Corporate Assets (e.g. employee theft)
- Non-compliance of The Company's Code of Conduct and Business Ethics
- Others (please try to be more specific when you describe your allegation)

3) Describe what misconduct, improper activity or complaint occurred?

4) Please provide the name(s) of the individual and/or external company involved in the allegation.

5) Are there any other parties involved other than the suspect stated above?

No

Yes (Please provide further information: _____

_____)

6) When (exact date or period of time) did it happened and when you noticed it?

7) Where did it happen and under what circumstance or occasion?

8) Is the misconduct or improper activity still on-going?

No

Yes

Don't know

9) Is there any money involved in your allegation?

No

Yes (Please indicate the amount and specify the currency. Should you think the Company has suffered any financial loss, kindly provide more information in your submission.)

10) State in detail all facts pertinent to the alleged violation. Explain why you believe the facts described constitute a violation.

11) Do you have any supporting documents to provide?

- No
- Yes (Please provide together with your submission and indicate below how the information will assist the Company in following up your report.

12) Any other comments?

- No
- Yes (Kindly provide and suggest: _____
